FRATERNAL SOCIETIES

NATE Company Code.								
Contact:				Tele	ephone:			
REQUIREI) FILIN	GS IN THE STATE OF: Arkansa	Filings Made During the Year 2006					
(1)	(2)	(3)		(4)	8	(5)	(6)	(7)
Cl1- 1:-4	Y :	DECLIDED EIL BICC FOR THE A DOVE CTATE		BER OF CO		DUE DATE	FORM SOURCE**	ADDI ICADI E
Check-list	Line #	REQUIRED FILINGS FOR THE ABOVE STATE	Dom	estic	Foreign	DUE DATE	SOURCE**	APPLICABLE NOTES
Initial if			State	NAIC	State			
Enclosed								
\square	1	I. NAIC FINANCIAL STATEMENTS Annual Statement (8 ½"x14")	2	1	0	3/1	NAIC	
	1	Amitual Statement (6 72 x14)	2	1	U	3/1	NAIC	A, B, E, F, G,
		Division and the transport				2/1	NA YO	H, I, J, K, L,
	1.1	Printed Investment Schedule detail (Pages E01-E25) Quarterly Financial Statement (8 ½" x 14")	2	1	0 xxx	3/1 5/15, 8/15, 11/15	NAIC NAIC	FOREIGN:
		Quarterly I manetal Statement (6 /2 × 14)	2	1		3/13, 6/13, 11/13	TVIIC	
	2	C	2	1	0	3/1	NAIC	SEE L & M
	3	Separate Accounts Annual Statement (8 ½"x 14")	2	1	0	3/1	NAIC	
		II. NAIC SUPPLEMENTS						
	10	Accident & Health Policy Experience Exhibit	2	1	0	4/1	NAIC	
	11	Interest Sensitive Life Insurance Products Report	2	1	XXX	4/1	NAIC	
	12 13	Investment Risk Interrogatories Long Term Care Experience Reporting Forms	2 2	1	0	4/1 4/1	NAIC NAIC	
	14	Management Discussion & Analysis	2	1	0 xxx	4/1	Company	
	15	Medicare Supplement Insurance Experience Exhibit	2	1	XXX	3/1	NAIC	
	16	Risk-Based Capital Report	2	1	0	3/1	NAIC	
	17	Statement of Actuarial Opinion	2	1	0	3/1	Company	
	18	Statement on non-guaranteed elements – Exhibit 5 Interr. #3	2	1	0	3/1	Company	
	19	Statement on participating/non-participating policies – Exhibit 5, Inter. #1	2		0	3/1		
	20	Supplemental Compensation Exhibit	2	N/A	N/A	3/1	NAIC	
	21	Trusteed Surplus Statement	2	1	XXX	3/1, 5/15, 8/15, 11/15	NAIC	
		III. ELECTRONIC FILING REQUIREMENTS			Dome	stic and Foreign	Companies:	
			As long	as vou f		ronically with the		e not required to
					ly with the		ivine, you ui	e <u>not required</u> to
	30	Annual Statement Electronic Filing	xxx	1	xxx	3/1	NAIC	
	31	March .PDF Filing	XXX	1	XXX	3/1	NAIC	
	32	Separate Accounts Electronic Filing	XXX	1	XXX	3/1	NAIC	
	33	Separate Accounts .PDF Filing	XXX	1	XXX	3/1	NAIC	
	34	Supplemental Electronic Filing	XXX	1	XXX	4/1	NAIC	
	35	Supplemental .PDF Filing	XXX	1	XXX	5/15 0/15 0 11/15	NAIC	
	36 37	Quarterly Statement Electronic Filing Quarterly .PDF Filing	xxx xxx	1	xxx xxx	5/15, 8/15 & 11/15 5/15, 8/15 & 11/15	NAIC	
	38	June .PDF Filing	XXX	1	XXX	6/1	NAIC	
		7		_				
		IV. AUDITED FINANCIAL STATEMENTS						
	51	Accountants Letter of Qualifications	2	N/A	N/A	C (1	Company	
	52	Audited Financial Statements Audited Financial Statements Examples Affidavit	2 2	N/A	0 NI/A	6/1	Company	
	53 54	Audited Financial Statements Exemption Affidavit Independent CPA	2	N/A N/A	N/A N/A		Company Company	NT
	_	-						N
	55 56	Notification of Adverse Financial Condition Report of Significant Deficiencies in Internal Controls	2	N/A N/A	N/A N/A		Company Company	+
	57	Request for Exemption to File	2	N/A	N/A		Company	J
-		* " "					F 3	J
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V. STATE REQUIRED FILINGS				
101	Filings Checklist	Required for Domestic Companies only.		
		(With Column 1 completed)		
102	Anti Fraud Assessment			
		Due No later than June 30 th each year.		
103	CHIP FORM	http://chiparkansas.org/insurers%2Donly/		
		Mandatory AR Comprehensive Health Ins Pool Form		
		Due Annually March 1st		
104 CFRF Form http://insurance.arkansas.gov/Accordatella		http://insurance.arkansas.gov/Accounting/2006%20CFRF%20page.htm		
		Company Financial Regulation Fee- Form will be available on-line March 15.		
		Due Annually June 30 th .		
105	List of Premium Tax	http://insurance.arkansas.gov/Accounting/2006%20LIST%20OF%20DUE%20DATES.doc		
	Forms & Due Dates	Link to Filing Forms:		
		http://insurance.arkansas.gov/Accounting/2006%20premium%20tax%20page.htm		
106	Property & Casualty			
	Guaranty Fund Form	http://insurance.arkansas.gov/Liquidation/divpage.htm		
		Due Annually March 1st—Questions: 501-371-2776		
107 Annual Continuation Filing Fee Instructions for Licensed Fraternal Benefit Socie		Filing Fee Instructions for Licensed Fraternal Benefit Societies- Look at the bottom of the		
Form page under the Tax Forms.		page under the Tax Forms.		
		http://insurance.arkansas.gov/Accounting/2006%20premium%20tax%20page.htm		
108	Signed Jurat/Attestation	Used for Foreign companies to indicate the Annual Financial Statement has been filed with		
		the NAIC. Foreign companies: Do Not File this for Quarterly Statements. See Note L.		
109	State Filing Fees	All filings fees for certificate of authority renewal and annual statement filing fees are		
		included on the premium tax forms. For additional questions, please contact the Accounting		
		Division: 501-371-2605.		

^{*}If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and the NAIC and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state.

**If Form Source is NAIC, the form should be obtained from the appropriate vendor.

	NOTES AND INSTRUCTIONS (A-K APPLY TO ALL FILINGS)	
A	Required Filings Contact Person:	Annette Craig, Finance Division Phone: 501-371-2673 Fax: 501-371-2747 Annette.craig@arkansas.gov
В	Mailing Address for Annual Statement filings:	Arkansas Insurance Department 1200 West Third Street Little Rock, AR. 72201-1904 ATTN: FINANCE DIVISION
С	Mailing Address for Premium Tax and Filing Fees:	ATTN: ACCOUNTING DIVISION Arkansas Insurance Department 1200 West Third Street Little Rock, AR. 72201-1904 Phone: 501-371-2605 Fax: 501-371-2618
D	Mailing Address for Premium Tax Payments:	ATTN: ACCOUNTING DIVISION Arkansas Insurance Department 1200 West Third Street Little Rock, AR. 72201-1904 Phone: 501-371-2605 Fax: 501-371-2618
Е	Delivery Instructions:	All filings must be physically received at the Department, no later than the indicated due date. If due date falls on weekend or federal holiday, due date is next business day.
F	Late Filings:	\$100 per day for late filings without an approved extension.
G	Original Signatures:	DOMESTIC: Original signatures required on all filings. FOREIGN: follow NAIC instructions.
Н	Signature/Notarization/Certification:	Two of 3 signatures are required on the Annual Statements. They must be signed by either of the following: President or Vice President with either the Secretary or Actuary.
I	Amended Filings: (Submit to address in Note B listed above)	Domestic Companies: File amended items within 10 days of the amendments, including an explanation of the amendment. If there are signature requirements for the original filing; it should be followed for any amendment. Foreign Companies: Not required to file an amended jurat page indicating an amendment. We track these through the NAIC database.
J	Exceptions from normal filings: Submit requests for extensions/exemptions to: Leo Liu, Manager of Financial Analysis. Mailing address: See Note B	Foreign companies must supply a written copy from <i>state of domicile</i> of any exemption or extension at least 10 days prior to the filing date in order to receive either status from Arkansas. Domestic companies should apply at least 30 days prior to due date
K	Bar Codes (State or NAIC)	Not required

L	Signed Jurat:	Foreign companies: This form
	~-5	to be sent separately to
		Arkansas <i>in lieu</i> of hard copy
		of Annual Financial Statement.
		This form is verification of
		submission of the Annual
		Financial Statement to the
		NAIC. Date received by the
		NAIC is considered the date
		submitted to the Arkansas
		Insurance Dept.
		Late fines based on the date
 		received by the NAIC.
M	Quarterly Financial Statements	Not required by Foreign
	(including <u>Quarterly Jurat pages</u>)	Companies.
N	Independent CPA	Foreign insurers are not
		required to notify this Dept.
		of this change.
0	Certificate of Deposit : Send under separate cover to:	Foreign Companies: Submit
	Malisa Landers, Securities Administrator	one original certificate of
	See Note B for mailing address	deposit from your state of
	Questions: 501-371-2679	domicile only.
	E-mail: Malisa.landers@arkansas.gov	·
		Domestics : Not required
P	New filings discontinued or modified materially since	•
	last year.	
	1. Certificate of Valuation	No longer required.
	2. Servine or , minimum	1.0 rouger requirem
	2. Certificate of Compliance	No longer required.

General Instructions For Companies to Use Checklist

Please Note:

This state's instructions for companies to file with the NAIC are included in this Checklist. The NAIC will send mailing labels and other information to all companies but will not be sending their own checklist this year.

<u>Electronic filing is intended to include filing via the Internet or filing via diskette with the NAIC.</u> Companies that file with the NAIC via the Internet are not required to submit diskettes to the NAIC.

Column (1) (Checklist)

Companies may use the checklist to submit to a state, if the state requests it. Companies should copy the checklist and place an "x" in this column when mailing information to the state.

Column (2) (**Line #**)

Line # refers to a standard filing number used for easy reference. This line number may change from year to year.

Column (3) (Required Filings)

Name of item or form to be filed.

The Annual Statement Electronic Filing includes the annual statement data and all supplements due March 1, per the Annual Statement Instructions. This includes all detail investments schedules and other supplements for which the Annual Statement Instructions exempt printed detail.

The *March .PDF Filing* is .pdf files for annual statement data, detail for investment schedules and supplements due March 1.

The Separate Accounts Electronic Filing includes the separate accounts annual statement and investment schedule detail.

The Separate Accounts .PDF Filing is the .pdf file for the separate accounts annual statement and investment schedule detail.

The Supplemental Electronic Filing includes all supplements due April 1, per the Annual Statement Instructions.

The *Supplemental .PDF Filing* is the .pdf file for all supplements due April 1.

The Quarterly Electronic Filing includes the quarterly statement data.

The *Quarterly .PDF Filing* is the .pdf for quarterly statement data.

The *June .PDF Filing* is the .pdf file for the Audited Financial Statements.

Column (4) (Number of Copies)

Indicates the number of copies that each foreign or domestic company is required to file for each type of form. The Blanks (E) Task Force modified the 1999 *Annual Statement Instructions* to waive paper filings of certain NAIC supplements and certain investment schedule detail, if such investment schedule data is available to the states via the NAIC database. The checklists reflect this action taken by the Blanks (E) Task Force. XXX appears in the "Number of Copies" "Foreign" column for the appropriate schedules and exhibits. **Some states have chosen to waive printed quarterly and annual statements from their foreign insurers and to rely upon the NAIC database for these filings. This waiver could include supplemental annual statement filings. The XXX in this column might signify that the state has waived the paper filing of the annual statement and all supplements.**

Column (5) (Due Date)

Indicates the date on which the company must file the form.

Column (6) (Form Source)

This column contains one of three words: "NAIC," "State," or "Company," If this column contains "NAIC," the company must obtain the forms from the appropriate vendor. If this column contains "State," the state will provide the forms with the filing instructions (generally, on its website). If this column contains "Company," the company, or its representative (e.g., its CPA firm), is expected to provide the form based upon the appropriate state instructions or the NAIC *Annual Statement Instructions*.

Column (7) (Applicable Notes)

This column contains references to the Notes to the Instructions that apply to each item listed on the checklist. The company should carefully read these notes <u>before</u> submitting a filing.